



Informationsveranstaltung DTP 2nd Call for Proposal

1. März 2017
Stuttgart

A stream of cooperation

- 2nd Call for Proposals: Format und thematischer Fokus
- Partnerschaft (Applicants Manual Part2)
- Projektbudget / Förderfähige Kosten (AM Part 3)
- Projektentwicklung / Intervention Logic (AM Part 5)
- Lessons Learned und Tipps

- **“1-Step”** Bewerbungsverfahren
- Maximale Projektdauer: **36 Monate**
- Offizieller Launch: vorauss. Mai 2017
- Einreichungsfrist: nicht vor **Juni 2017**
- Technische / formale Anforderungen wie DTP 1st CfP

<http://www.interreg-danube.eu/calls/calls-for-proposals/second-call-for-proposals>



http://www.interreg-danube.eu/calls/calls-for-proposals/s

Calls for proposals Second call for proposals

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2ND CALL: LA SEMINAR PRESENTATIONS AND OTHER DOCUMENTS

- Guidelines to the AF
- Application Form - PDF (content part)
- Application Form - Excel (financial part) **NEW!**
- Declaration of co-financing
- State Aid Declaration
- ASP Declaration (if applicable)
- Declaration for International Organisations (if applicable)
- Partnership Agreement **NEW!**
- Subsidy Contract **NEW!**

SUPPORT FROM DTP JOINT SECRETARIAT

DTP applicants will have the possibility to individually consult with the Joint Secretariat on their project ideas before and during the launch of the call. Project ideas being at an early stage of development are recommended to first address their respective [National Contact Point](#) for general advice and information.

A consultation can only be requested by email including a written project outline based on a pre-defined DTP [Concept Note](#). Additionally, applicants are requested to highlight the main questions to be answered by the Joint Secretariat. The JS will provide a feedback as soon as possible, not later than a week from the request.

A. Relevance of the proposal: 2 main criteria, 4 sub-criteria,
each 0-5 points

< 60%

> 59%

B. Strategic relevance: 5 main criteria, 17 sub-criteria,
each 0-5 points

< 60%

> 59%

C. Operational relevance: 4 main
criteria, 14 sub-criteria

>74%

Direct approval

74% - 60%

Approval decided
by MC

< 60%

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2nd Call for Proposal Thematischer Fokus

SO	Restriction for the 2 nd Call for Proposal
SO 1.1	Open: <u>restricted</u> to commercialisation of research results and technology transfer; cluster policies and transnational cluster cooperation based on smart specialisation approaches; management of intellectual property rights for supporting innovation
SO 1.2	Open (unrestricted)
SO 2.1	Open: <u>restricted</u> to flood prevention (monitoring / early warning systems; preventive solutions) and/or water quality management (e.g. harmonised monitoring and assessment systems and solutions, measures to reduce nutrient and hazardous substance pollution, more efficient waste water treatment solutions)
SO 2.2	Open (unrestricted)
SO 2.3	Open (unrestricted)
SO 2.4	Open (unrestricted)
SO 3.1	Open: <u>restricted</u> to multi/ inter-modality (especially inclusive but not limited to rail and air transport), transport safety (inclusive of ITS, if applicable), IWT fleet modernisation, connectivity of rural areas and improvement of public transport
SO 3.2	Open (unrestricted)
SO 4.1	Closed

2nd Call for Proposal Budget

Budget of the 2nd Call for Proposals in EUR

	ERDF	IPA	ENI MD	ENI UA	TOTAL
SO 1.1	5,958,680.86	253,178.96	402,127.80	402,127.80	<u>7,016,115.42</u>
SO 1.2	12,579,492.19	1,168,397.99	402,127.80	402,127.80	14,552,145.78
SO 2.1	2,940,677.01	296,060.37	162,861.60	162,861.60	<u>3,562,460.58</u>
SO 2.2	9,307,708.48	820,103.18	430,851.00	430,851.00	10,989,513.66
SO 2.3	4,410,625.53	431,810.32	162,718.20	162,718.20	5,167,872.25
SO 2.4	6,008,367.90	499,045.80	162,718.20	162,718.20	6,832,850.10
SO 3.1	4,904,692.17	797,956.70	402,127.50	402,127.50	<u>6,506,903.87</u>
SO 3.2	4,724,730.34	153,945.20	201,063.90	201,063.90	5,280,803.34
TOTAL	50,834,974.48	4,420,498.53	2,326,596.00	2,326,596.00	59,908,665.01

Planned to be
available at the
launch of the call!

Table of contents

I.	Key principles of the programme	4
I.1	Result orientation	4
I.2.	Transnational focus	5
I.3.	Horizontal principles.....	5
I.4.	Durability and transferability of the project results	7
I.5.	Integrated territorial approach.....	7
II.	Partnership	8
II.1.	Lead Partner principle	8
II.2.	Composition of the partnership	9
II.3	General eligibility rules.....	10
II.4.	Geographic eligibility rules	15
II.5.	Financial capacity of project partners and national co-financing.....	17
III.	Type of project activities	18
IV.	Capitalisation.....	19
V.	Duration of projects	20

Public sector



Private sector



** LP and IPA PP cannot be profit-making organisations!*

3 financing partners from 3 DTP countries
(LP and at least 2 other PPs)



LP – must come from an EU MS

LP – cannot be profit-making organisation

Experience approved 1st CfP projects: approx. 12 financing PPs

Programme area

14 countries:

- **9 EU MS:** DE, AT, CZ, SI, SK, HU, HR, RO, BG
- **3 EU (potential) candidate countries:** RS, ME, BiH
- **2 EU neighbouring countries:** UA, MD



Partnerschaft Typologie

Type of Partner		Location	Budget	Budget lines
Directly financed partners	LEAD PARTNER	EU part of the Programme area	Separate	All
	ERDF PARTNER	EU part of the Programme area	Separate	All
	IPA PARTNER	Bosnia and Herzegovina, Montenegro, Serbia	Separate	All
	ENI PARTNER	Moldova and Ukraine	Separate	All
Indirectly financed partners	Associated Strategic Partners (ASPs)	<ul style="list-style-type: none"> ➤ EU countries ➤ Non-EU countries of the Programme Area 	Part of a "sponsoring" ERDF PARTNER budget	TRAVEL and ACCOMODATION

Associated Strategic Partners (ASP)

**Added
value for
the
partnership**



**- EU countries
- Non-EU
countries from
DR**

**No (own)
budget**

Table of contents

I. Legal background and hierarchy of rules.....	4
II. General eligibility requirements	5
III. Eligibility of expenditures by budget lines	7
III.1 Staff costs	7
III.2 Office and administrative expenditure	8
III.3 Travel and accommodation costs	9
III.4 External expertise and service costs	10
III.5 Equipment expenditure	11
III.6 Infrastructure and works	13
IV. Special eligibility rules	14
V. State aid.....	16
VI. Use of Euro and exchange rate.....	17
VII. Revenues.....	17

BL1. Staff Costs

Two options **(either!/or!)**:

A.) Real Cost Basis

B.) Flat Rate: 20% of direct costs (BL3, 4, 5, 6)



Limited to:

- Costs of the personnel employed by the beneficiary institution and executing tasks for the project
- Salary payments
- Any other costs directly linked to salary payments incurred and paid by the employer (e.g. employment taxes and social security)

Reimbursement option (real cost or flat rate) will remain unchanged through the entire project period!

BL2: Office and administration

Flat rate - 15% of the eligible staff costs



- Cannot be claimed as direct cost under other budget lines
- If the staff costs are not eligible/ declared, office and administration costs cannot be declared
- If the staff costs are declared on flat rate basis, office and administration are eligible

BL3: Travel and accommodation

- Travel costs
- Costs of meals
- Accommodation costs
- Visa costs
- Daily allowances



BL3 applies only for staff of PPs and NOT for external experts!!

BL4: External expertise and services

- a. Studies or surveys (e.g. evaluations, strategies, concept notes, design plans, handbooks)
- b. Training
- c. Translations
- d. IT systems and website, modifications and updates
- e. Promotion, communication, publicity or information linked to the project
- f. Financial management
- g. Services related to the organisation and implementation of events or meetings (including rent, catering or interpretation)
- h. Participation in events (e.g. registration fees)
- i. Legal consultancy and notarial services, technical and financial expertise, other consultancy and accountancy services

External expertise and services

- j. Intellectual property rights
- k. Verifications: Externalised control activities (FLC) for the verification of the project expenditure where it is relevant for the control system of the concerned Partner State
- l. The provision of guarantees by a bank or other financial institution where required by Union or national law or in a programming document adopted by the monitoring committee
- m. Travel and accommodation for external experts, speakers, chairpersons of meetings and service providers
- n. Other specific expertise and services needed for the given project

BL5:Equipment Expenditure



**PLEASE
NOTE:**

Purchase, rent or lease

- a. Office equipment
- b. IT hardware and software
- c. Furniture and fittings
- d. Laboratory equipment
- e. Machines and instruments
- f. Tools or devices
- g. Vehicles
- h. Other specific equipment

Only equipment **listed in the approved AF** are eligible.

Full costs of the equipment should be allocated to the project, **if in line with national and institutional regulations**

If not, only **depreciation costs** shall be allocated to the project.

Rental or leasing costs for a certain period during the project lifetime are eligible.

BL6: Infrastructure and works

- Only **small scale infrastructure** is eligible; the **transnational character** of the investment has to be demonstrated; the activity is **approved in the Application Form**
- This budget line should include execution or both **design and execution of works** as well as **site preparation, delivery, handling installation, renovation**

Special eligibility rules

A) Preparation costs

- Lump sum of 17,500.00 EUR per project. ERDF contribution part of this amount will be reimbursed to the LP
- Only if the approved project has not received other EU financial support for the project preparation

B) Expenditure of the Associated Strategic Partners

Table of contents

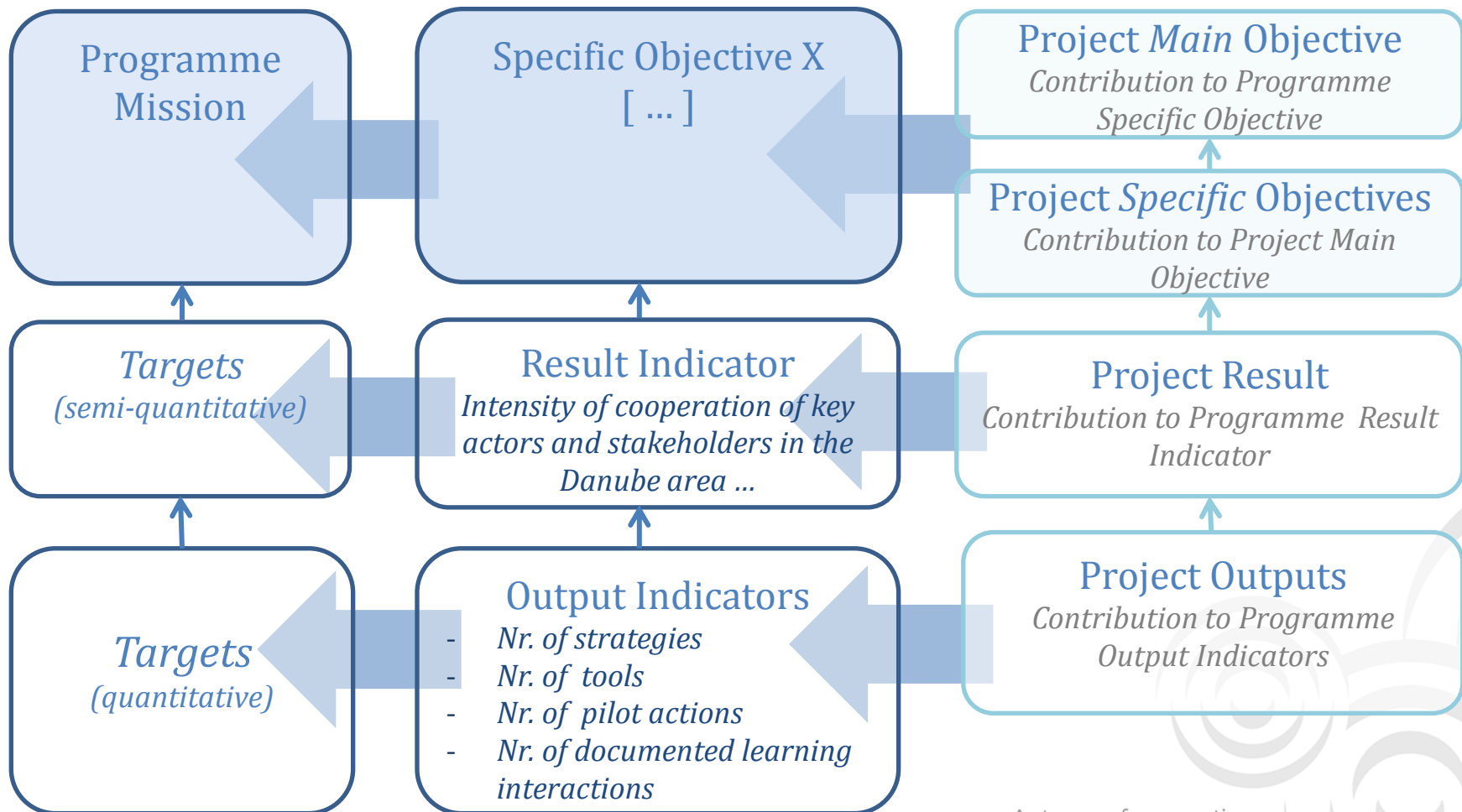
I. Project generation and support from the programme.....	3
II. How to set up the partnership.....	5
III. How to develop the intervention logic	7
IV. How to contribute to the programme output indicators	11
V. How to set up an efficient project coordination.....	16
VI. Project communication strategy: key for the success of a project.....	18
VII. Environmental sustainability.....	22

Result Orientation

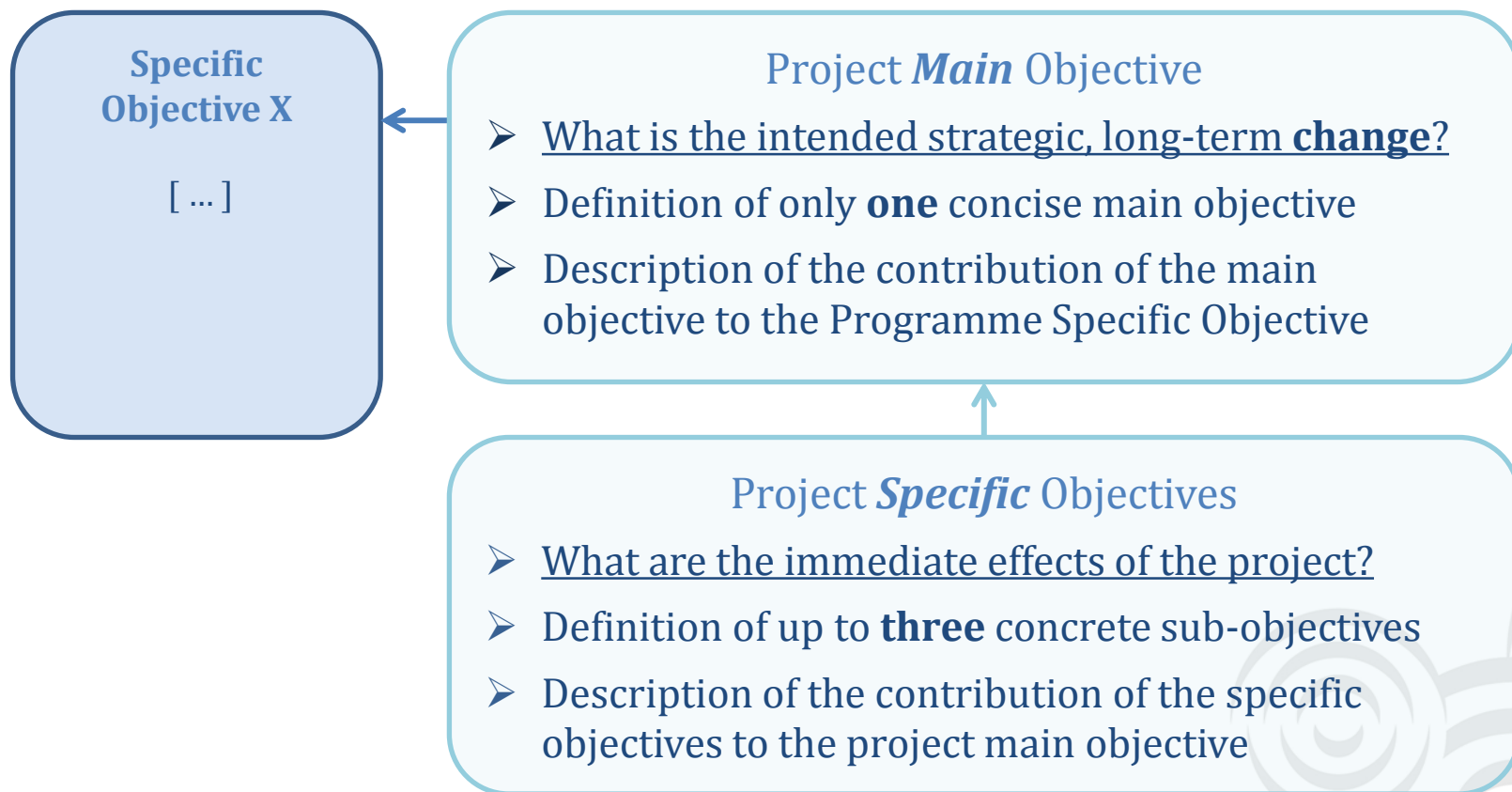
- Reinforced and (more) systematic approach to ensure direct contribution of projects to achieve Specific Objectives and Programme Objectives
- Stringent alignment of projects and Programme regarding
 - Objectives
 - Results
 - Outputs
- Exact specification quantification of project outputs along a set of limited, pre-defined output indicators

Programme

Project



Project Intervention Logic - Objectives



Project Intervention Logic – Result

Programme Result Indicator

*Intensity of
cooperation of key
actors and
stakeholders in the
Danube area ...*

Project Result

- What is the benefit of using the project outputs?
- Description of **one** concise result only
- Description of the contribution of the project result to the Programme Result Indicator

Project Intervention Logic - Outputs

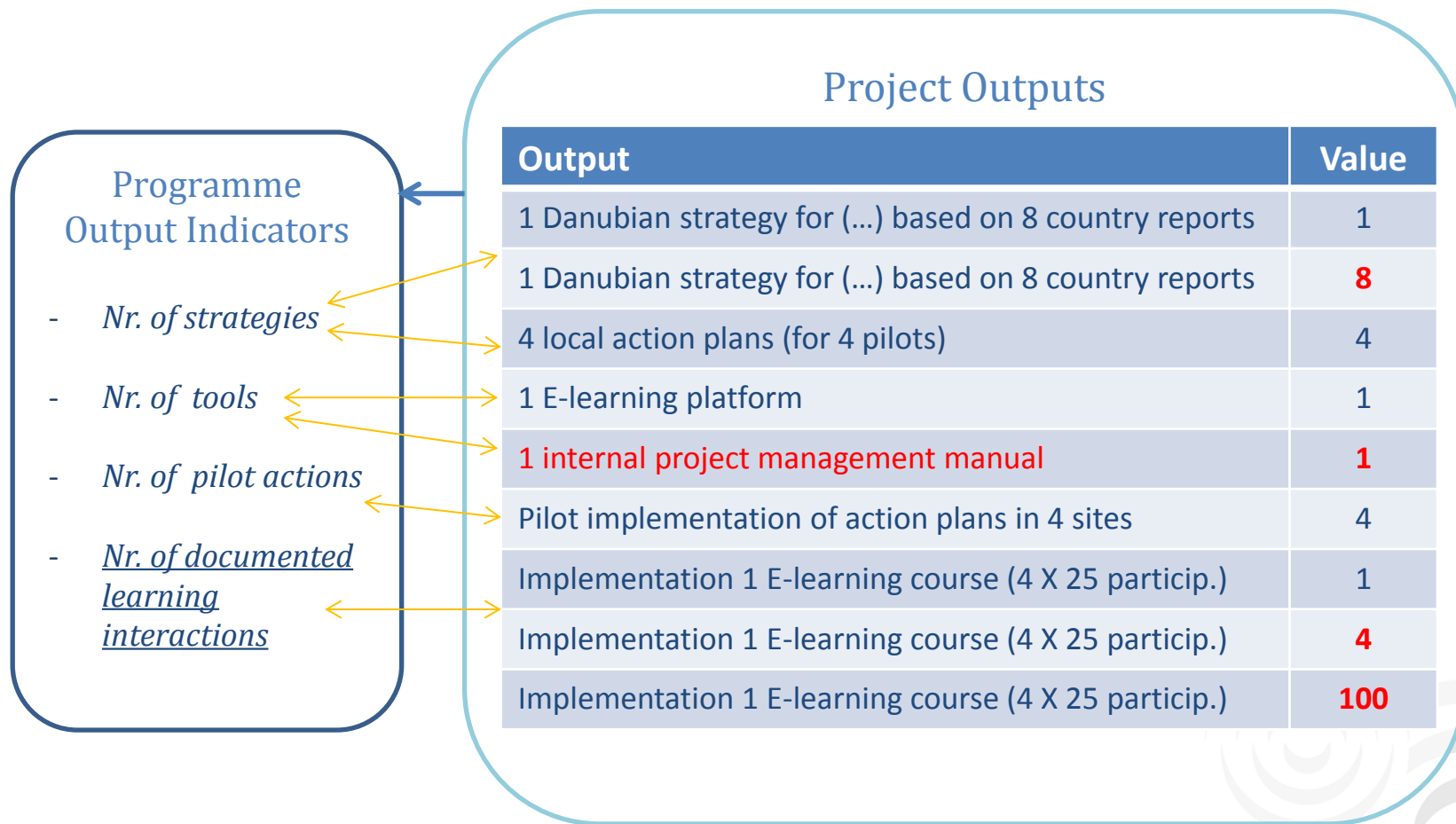
Programme Output Indicators

- *Nr. of strategies*
- *Nr. of tools*
- *Nr. of pilot actions*
- Nr. of documented learning interactions

Project Outputs

- What has been produced for the money given?
- Project outputs have to contribute to one compulsory programme output indicator (documented learning interactions)
- ... and have to contribute to **one up to max. 4** further programme output indicator

Intervention Logic – Outputs



Output Indicators

Documented learning interaction

- process of **acquiring institutional knowledge** through transnational cooperation addressing common problems and/or challenges in a specific field
 - E.g. capacity building measures, e-learning platforms, peer-reviews ...
 - **Mandatory horizontal output indicator**; each projects has to implement at least one (recommended: three) **learning interactions**!
 - Documented means that physical proofs that such a learning process has been implemented

Strategy

- Should start with the **definition of joint problems/ challenges** and set up clear mid and long term objectives reflecting the **common vision of the Danube Region** in a specific field.
- Should aim at **policy integration** in the Danube area in the selected fields and act as policy drivers below EU level but above national level.
 - **Action plans** break down the strategy goals and objectives into specific actions.

Output Indicators

Tool

- **means for achieving a specific task.** Tools should be jointly developed at transnational level and be innovative.
- Tools can be **tangible** (physical or technical objects) and **intangible** (methods, concepts or services).
 - e.g. analytical tools, management tools, software tools, monitoring tools, decision support tools, technical tools

Pilot action

- **practical implementation of newly developed solutions** (e.g. services, tools, methods or approaches, even an investment)
- has an experimental nature which aims at testing, evaluating and/or demonstrating the feasibility and effectiveness of a scheme

Deliverable: *side product or service contributing to the development of an output*

→ *All products under WP1 and WP2 are deliverables*

- Was soll sich in 5 Jahren durch das Projekt verändert haben? Entwicklen Sie eine Vision!
 - Setzt das Projekt wirklich an Donau-spezifischen Bedarfen oder Potentialen an?
 - Stellt das Projekt / die Projektidee klare, explizite und authentische Bezüge zu genau einem spezifischen Programmziel her?
-
- Haben wir eine zum Thema passende Partnerschaft oder die Partnerschaft?
 - Ist das Projekt mit der EUSDR abgestimmt, in sie eingebettet, durch sie inspiriert?
 - Haben Sie sich auf die Intervention Logic “eingelassen”? Ist sie sauber gearbeitet?
 - Haben wir ein kritisches Self-Assessment des Antrages durchgeführt und die Erkenntnisse konsequent umgesetzt?
 - Gab es eine Konsultation mit dem JS? Sind entsprechende Feedbacks konsequent berücksichtigt worden?
 - Was denkt “Oma” über das Projekt?

Johannes Gabriel
Project Officer

Joint Secretariat | Danube Transnational Programme
Honvéd utca 13-15 – 1055 Budapest, Hungary
Tel: +36 1 795 5886

johannes.gabriel@interreg-danube.eu
www.interreg-danube.eu

